

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney Docket No.

0508-1141

U.S. Application No.

107551343

INTERNATIONAL APPLN. NO. PCT/FR2004/000691	INTERNATIONAL FILING DATE March 22, 2004	PRIORITY DATE CLAIMED March 28, 2003
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TITLE OF INVENTION: NOVEL CYCLODEXTRIN DERIVATIVES, METHOD FOR THE PREPARATION THEREOF AND USE THEREOF FOR THE SOLUBILIZATION OF PHARMACOLOGICALLY ACTIVE SUBSTANCES

APPLICANT(S) FOR DO/EO/US: Jacques DEFAYE, Carmen ORTIZ-MELLET, Jose Manuel GARCIA-FERNANDEZ, Maria GOMEZ-GARCIA, Kazimierz CHMURSKI and Jian-Xin YU

Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)).
The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau. See attached PCT/IB/308.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made, however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))
10. A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv).))
11. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

12. Information Disclosure Statement (IDS) w/PTO-1449 - Copy of IDS citations.
13. Assignment Papers (cover sheet & document(s)).
14. A preliminary amendment.
15. An Application Data Sheet under 37 C.F.R. 1.76.
16. Itemized Return Receipt Postcard
17. A substitute specification.
18. Power of Attorney and Statement under 37 CFR §3.73(b)
 - a. Newly executed Power of Attorney
 - b. A change of Power of Attorney and/or change of address letter.
19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2
and 37 CFR 1.821 - 1.825.
20. A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)).
22. Other items or information: Abstract, International Search Report

U.S. APPLICATION NO. 10/551343	INTERNATIONAL APPLN. NO. PCT/FR2004/000691	ATTORNEY DOCKET NO. 0508-1141		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY		
PCT FEES - NATIONAL STAGE				
<u>Fee Description</u>				
Basic National Stage Fee		\$300.00		
Non ISA/US Search Provided		National Stage Search Fee <input type="checkbox"/> US was the IPEA And all claims satisfied the provisions of PCT Article 33 (1)-(4)	\$400.00 <input type="checkbox"/> National Stage Examination Fee <input type="checkbox"/> Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20- <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (Fee Code 1617/2617)	\$200.00 \$130.00
National Stage Application size fee each additional 50 sheets in excess of 100 Fee Code 1681/2681	Additional Sheets 82 - 100 = _____ = _____ X 50	Fee From Below <u>\$125.00</u>	\$0.00	
CLAIMS	NUMBER FILED 2 - 3 =	NUMBER EXTRA	RATE x \$100.00 \$0.00	
Independent Claims Fee Codes 1614 / 2614	31 - 20 =	11	x \$50.00 \$550.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616			+ \$360.00 \$0.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).Fee Code 1618			 \$0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) \$40.00 per property		+	 \$0.00	
TOTAL FEES ENCLOSED		=	 \$1,580.00	
		Amount to be refunded:	\$	
		Charged:	\$	
<input checked="" type="checkbox"/> A check in the amount of \$1,580.00 to cover the above fees is attached. <input type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.				
SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23 rd Street Arlington, VA 22202 Telephone: (703) 521-2297 Facsimile: (703) 685-0573		 <u>SIGNATURE</u> Benoit Castel, Reg. No. 35,041 <u>NAME, REGISTRATION NUMBER</u>		
Y&T Customer No. 00466 BC/yr		<u>September 28, 2005</u> <u>DATE</u>		